Rec'G LIPTO 11 APR TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) **NBG-114** In Re Application Of: Matteo Zoppas et al. Application No Filing Date Examiner Customer No. Group Art Unit Confirmation No. April 14, 2006 Unassigned 48388 Unassigned Unassigned PLANT AND METHOD FOR THERMALLY CONDITIONING PLASTIC ITEMS Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); **OR** \Box the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) **NBG-114** In Re Application of: Matteo Zoppas et al. pplication.No. Filing Date Examiner Customer No. **Group Art Unit** Confirmation No. April 14, 2006 Unassigned 48388 Unassigned Unassigned PLANT AND METHOD FOR THERMALLY CONDITIONING PLASTIC ITEMS Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. ☑ The Director is hereby authorized to charge and credit Deposit Account No. 12-2147 as described below. Charge the amount of \mathbf{X} Credit any overpayment. Charge any additional fee required. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I hereby certify that this correspondence is being deposited I certify that this document and authorization to charge deposit with the United States Postal Service with sufficient postage account is being facsimile transmitted to the United States as first class mail in an envelope addressed to Patent and Trademark Office (Fa "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on (Date) (Date) Signature Signature of Person Mailing Correspondence Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may only be used if paying by deposit account. Dated: April 14, 2006 Signature Mark D. Lorusso Reg. No. 41, 955 LORUSSO & ASSOCIATES 3 Pinecrest Terrace Portsmouth, NH 03801 Tel.: 603-427-0070 Fax: 603-427-5530 Email: mlorusso@lliplaw.com CC:

IAP20 Rec'd POTATO 14 APR 2006

PATENT

ATTORNEY'S DOCKET NO.: NBG-113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Matteo Zoppas et al.

Serial No.:

Unassigned National Stage of

PCT/EP2004/052437

Filed:

April 14, 2006

For:

PLANT AND METHOD FOR THERMALLY

CONDITIONING PLASTIC ITEMS

Examiner:

Not Assigned

Art Unit:

Not Assigned

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. §1.10

I hereby certify that the following correspondence is being deposited with the United States Postal service as Express Mail, Label No. ED 133090735 US in an envelope addressed to Mail Stop: PCT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313—1450 on April 14, 2006.

Mark D. Lorusso

Mail Stop: PCT

Commissioner for Patents

PO BOX 1450

Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 C.F.R. §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the applicants request consideration of this information disclosure statement.

Compliance with 37 C.F.R. §1.97

This information disclosure statement has been filed before the mailing of a first office action on the merits. No fee or certification is required.

Information Cited

The applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the relative importance of the references.

Remarks

Pursuant to 37 C.F.R. § 1.98(a)(2)(i), copies of non-U.S. patent references are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). A copy of an International Search Report in which the references are identified and their relevance highlighted is also enclosed. It is respectfully requested that:

- The examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- The enclosed form PTO-1449 be signed by the examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- The citations for the information be printed on any patent which issues from this application.

By submitting this information disclosure statement, the applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this information disclosure statement, the applicants make no representation that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this information disclosure statement, the applicants make no representation that the information cited in the statement is, or is considered to be, in

-3-

fact, prior art as defined by 35 U.S.C. §102.

It is understood by applicants that the foregoing information will be considered and, to the extent deemed appropriate by the examiner, will be reflected in the examiner's communication.

Respectfully submitted,

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Attorneys for Applicants

Docket No.: NBG-114

Date: April 14, 2006

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

NBG-114

APPLICATION NO.

ZOPPAS, et al.

	FILING DATE					GROUP ART UNIT			
					2006	N/A			
		U.S	S. PATENT	DOCUMENTS					
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	5,326,258	7/5/1994	GITTNE	R, et al.	432	5	4/12/1993		
	5,066,222	11/19/1991	ROOS, et al.		432	5	3/14/1990		
	5,308,233	5/3/1994	DENIS, et al.		425	151	5/17/1993		
	3,627,282	12/14/1971	KINSLOW, JR.		263	8	11/10/1969		
	6,428,737	8/6/2002	COLLETTE, et al.		264	513	7/8/1996		
		U.S. PATE	NT APPLIC	ATION PUBLICATIONS					
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
		FORI	EIGN PATE	NT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANS YES	LATION NO	
	1 497 114	1/5/1978	GREAT I	BRITIAN	B29C	17/07	V		
	PCT/EP99/03507	5/21/1999	WIPO	VIPO		49/64	7		
	OTHER DOCUM	=	_	, Title, Date, Pertine	-				
	INTERNATIONAL S	EARCH REPORT	Γ; JANUAI	RY 18, 2005; T. INGEI	LGARD; 2 PGS	•			
EXAMINER				DATE CONSIDERED					
*EXAMINER: I	nitial if reference considered, whet	ther or not citation i	s in conform	nance with MPEP 609; D	Praw line through	citation if not in o	conformanc	e and not	

Form PTO-A820 (also form PTO-1449)

considered. Include copy of this form with next communication to applicant.

ATTY DOCKET NO. APPLICATION NO. NBG-114 INFORMATION DISCLOSURE CITATION ZOPPAS, et al. (Use several sheets if necessary) FILING **GROUP ART APRIL 14, 2006** N/A **U.S. PATENT DOCUMENTS** *EXAMINER FILING DATE CLASS SUBCLASS DOCUMENT NUMBER DATE NAME IF APPROPRIATE INITIAL 264 535 10/31/2000 NETER, et al. 4/20/1999 6,139,789 **U.S. PATENT APPLICATION PUBLICATIONS** FILING DATE *EXAMINER SUBCLASS NAME CLASS DOCUMENT NUMBER DATE IF APPROPRIATE INITIAL **FOREIGN PATENT DOCUMENTS** TRANSLATION SUBCLASS DOCUMENT NUMBER DATE COUNTRY CLASS YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820 (also form PTO-1449)